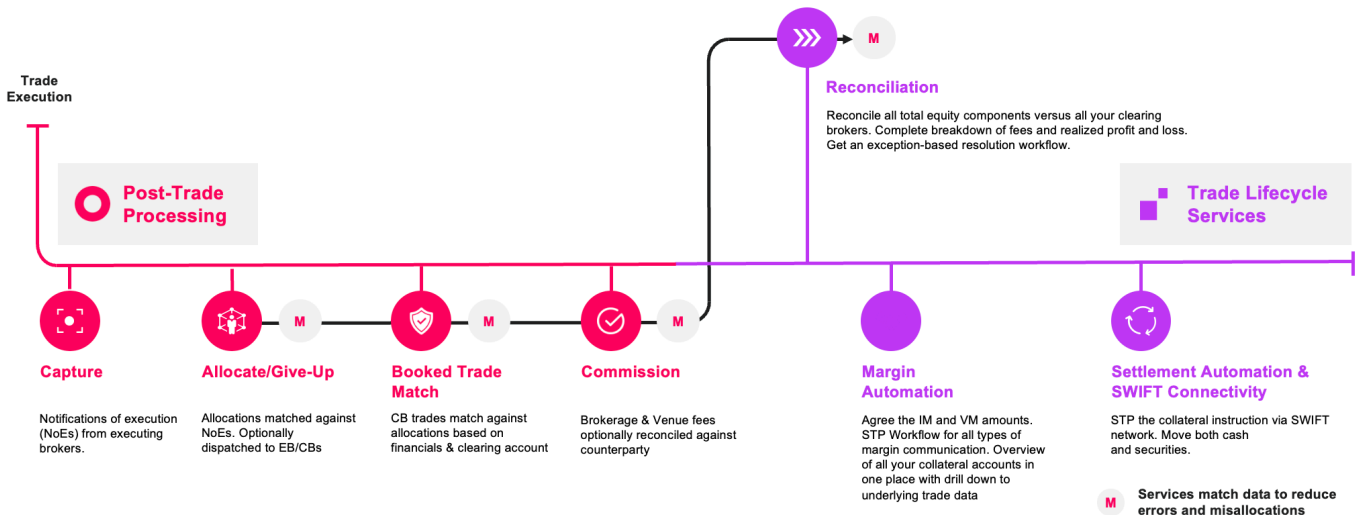


OSTTRA for Exchange-Traded Derivatives

Our end-to-end post-trade solution processes millions of exchange-traded derivative allocations a year



Challenge

OSTTRA Solution

| | Challenge | OSTTRA Solution |
|-------------------------------|--|--|
| Connectivity | <ul style="list-style-type: none"> Connecting to multiple counterparties using multiple file formats and symbologies adds significant complexity and cost and wastes resources. | <ul style="list-style-type: none"> A single connection to all counterparties on Global Exchanges via OSTTRA. Single integration in your preferred format; OSTTRA manages your counterparties' format needs. Our symbology database enables STP with various identifiers (RIC, Bloomberg, GMI etc.). |
| T0 Accuracy / Visibility | <ul style="list-style-type: none"> Inaccurate trade records on T0 and a lack of visibility into counterparty post-trade processing causes significant operational risk, unallocated exposures, overnight capital charges, and incorrect margin calls. | <ul style="list-style-type: none"> Real-time execution and confirmation matching ensure the right trade is booked in a timely manner on T0. Our T0 matching of fees and commissions lightens the operational burden during invoicing. We provide a centralised view across counterparties |
| Allocation Automation | <ul style="list-style-type: none"> For all participants of the trade, inaccurate allocations can increase operational risk and utilise significant resources to resolve exceptions. | <ul style="list-style-type: none"> Weighted average price allocation support, best fit, APS or pre-set allocation functionality. The OSTTRA-enriched allocation message contains an expanded dataset to enhance give-up accuracy. Advanced allocation notices to FCMs improves give-up acceptance and prevent trade mis-booking to accounts. |
| T+ Rec, Margin and Settlement | <ul style="list-style-type: none"> Need for daily reconciliation of futures including positions, transactions, fees and collateral. Manage margin calls and settlement for a large investment management community facing numerous clearing brokers as well as settlement instructions facing a multitude of custodians. | <ul style="list-style-type: none"> We enable automated, exception-based reconciliation processes between client and FCM records. We provide an automated margin workflow including seamless settlement connectivity. Our interactive UI and API-driven process streamlines ETD position transfers, increasing transparency and collaboration for all parties and departments. |

Key benefits

Trade Order ID

Our T+0 ETD solution now includes a trade order ID that persists across the workflow, tying together the data fields and supporting data accuracy and trade timeliness, reducing operational risk.



130+

Investment Management Community clients supported for ETD



6.5bn

Total lots processed by our network annually



23

Clearing brokers on our network



85

Executing brokers on our network



230m+

Allocations completed annually

Total Equity Reconciliation

OSTTRA's total equity reconciliation solution helps firms to ensure integrity across ETD portfolios, including open positions, trades, fees, commissions, profit & loss and cash balances.

OSTTRA OCO

Our Onboarding, Connectivity and Operations (OCO) programme consists of five workstreams designed to satisfy operational resilience requirements. OCO Service Monitoring provides visibility into the health of our platforms, the trades flowing over them and the market participants using them.

OSTTRA for Exchange-Traded Derivatives: Advancing DMIST Standards



OSTTRA, as DMIST ambassador, cooperates to improve post-trade efficiency and resilience. We support DMIST 30/30/30 compliance with real-time messaging and matching, and propagate key identifiers like Order and Execution IDs.

OSTTRA is also contributing to DMIST working groups focused on:

Self-Match Prevention, Position Transfer, Universal Order ID, and Execution Source Code.

We're supporting implementation guides for key standards to aid adoption and drive greater efficiency and standardisation across the exchange-traded derivatives market.



For more information, please email info@osttra.com

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