

Automated Settlement via SWIFT

Powered by **OSTTRA triResolve Margin**

Instruct an extensive range of settlements, including cash, securities, triparty RQV, interest and cashflows via OSTTRA triResolve Margin. Provides automated SWIFT messaging and connectivity to a wide range of custodians and triparty agents.

Solve the settlement challenge

Whether you need to instruct cash or security settlement, or you have requirements to segregate collateral (including both 3rd party/triparty Initial Margin and 40 Act funds), we offer the easiest way to connect to multiple custodians via one single platform. We support a wide set of SWIFT message types across multiple products. The provision of SWIFT messaging delivers automated settlement instructions in the format required by each custodian, removing the need for you to manually instruct settlement via fax or custodian portal, or build your own expensive custodian integration.

Connectivity and transparency

Connecting the margin and settlement workflows allows seamless processing, reducing the risk of error and settlement failure. Providing both settlement instruction and status updates increases transparency.

Highlights

Standard Settlement Instructions

Standard Settlement Instructions are managed in OSTTRA triResolve Margin and require one-time setup only, and mandatory 4-eyes approval. These include SSI's for both own and counterparty accounts and are automatically added to any settlement which requires processing. Users can setup a range of different profiles, including bilateral cash; securities; 3rd party & triparty. These SSI's are used to enrich SWIFT messages for instruction to your custodian.

Settlement workflow

Settlements ready for processing (e.g. agreed margin calls) are presented to an approved user for validation and approval via a dedicated Movements workflow. In addition to providing a chance to approve the transfer, users can also view the underlying event, thus allowing them to verify the details are correct (e.g. amount/direction equal the agreed margin call). In addition, users can also review any SSI's which are associated with the settlement.

Real-time settlement updates

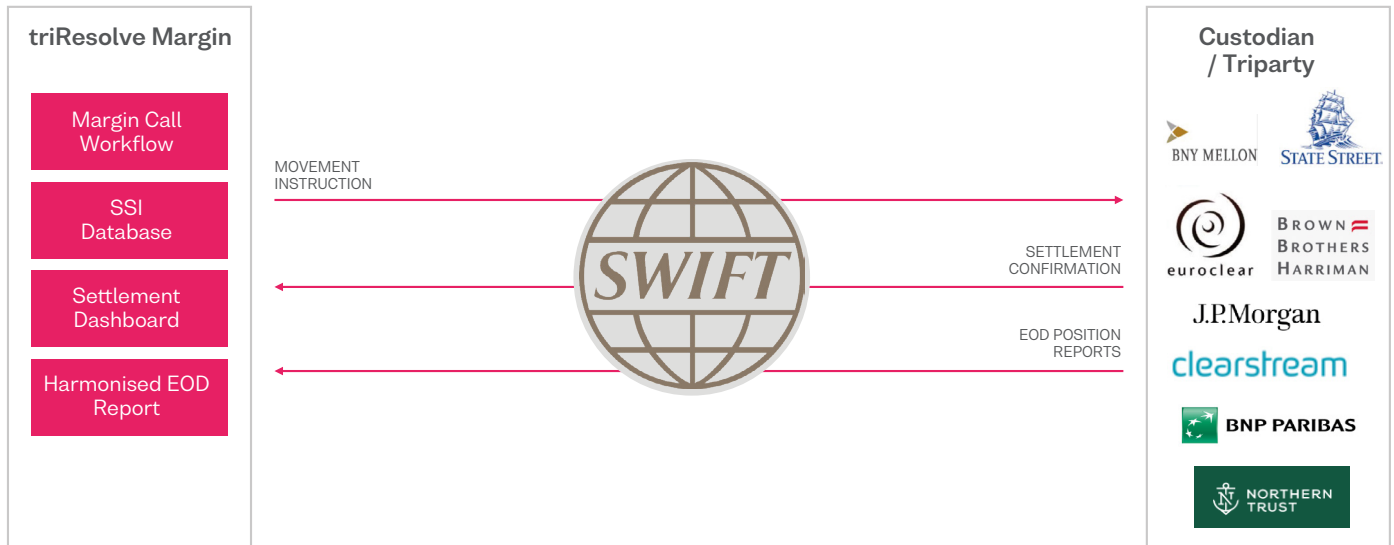
Once a movement is approved via the dashboard a SWIFT message is automatically generated and submitted via the SWIFT gateway to the assigned custodian. Unlike other systems which can offer SWIFT instruction only, OSTTRA triResolve Margin users can monitor the settlement status via updates in the dashboard. These provide real-time insight, allowing you to see when movements are approved, sent, settled – as well as additional triparty transparency.

Reporting

Users can run a range of reports to clearly understand all settlement details, including margin calls, collateral movements and triparty end of day allocations. Clients can select to receive end of day reports from multiple triparty agents via SWIFT message. These are then automatically consolidated into a single report, allowing you to view details of all collateral both received/posted in your triparty accounts.

“Having the ability to manage all our third party & triparty Initial Margin positions & RQVs in a single system, that communicates with multiple custodians via SWIFT message, is a real time-saver for the bank. We can also track the status of each settlement in triResolve Margin directly without having to login into multiple separate custodian systems.”

- Large regional bank



- Automated collateral settlement: cash, securities, triparty
- MT message support: MT202/210/900/940/950; MT535/536/540/542/544/546/548/549; MT527/558/569

Why choose us?

- Immediate SWIFT connectivity – replace legacy systems and processes with modern technology
- Reduce complexity - removes the need to build and maintain complex integrations to multiple triparty agents and custodians
- Reduced risk of fails – connecting the margin and settlement processes saves time, reduces risk and lowers chance of settlement fails
- No testing required - no need to test with each triparty/custodian since we have completed ‘provider level’ testing. Go-live quickly
- Future proof - changes to SWIFT standards or related triparty/custodian updates managed by TriOptima

Triparty and custodian connectivity

Our solution provides access to a growing network of custodians and triparty agents, covering both domestic & international settlement. We aim to support a broad set of connectivity options for clients, covering all major markets and products.

Combine margin and settlement workflows

Our offering is much more than a SWIFT engine. By linking the margin and settlement workflows this creates a true end-to-end STP process.

For more information, please email info@osttra.com

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